# The vP Cartography in Standard and Nagasaki Japanese<sup>1</sup>

Masako Maeda

# 1. Introduction

This paper investigates the cartographic structure of vP and the morphological properties of the honorific morpheme (r)are in Standard Japanese (SJ) and its counterpart (r)asu in the Sasebo, Nagasaki dialect, which is spoken in the northern part of Kyushu, hereafter called Nagasaki Japanese (NJ).<sup>2.3.4.5</sup>

<sup>2</sup> List of abbreviations: ACC, accusative; AMBIV, ambivalent; ASP, aspect; DAT, dative; CAUS, causative; HON, honorific; INTRANS, intransitive; NEG, negation; NOM, nominative; PASS, passive; PAST, past tense; POL, politeness marker; PRT, particle; PERF, perfect aspect; PROG, progressive aspect; Q, question; TRANS, transitive; TOP, topic; VOL, voluntary, spontaneous, circumstantial mood; 1SG, first person singular.

<sup>&</sup>lt;sup>1</sup> Earlier versions of this paper were presented at SICOGG 22 (August 14, online) and at the 6th workshop of Language Change and Language Variation Research Unit (August 16, online), I would like to express my sincere gratitude to Jahoon Choi, Michael Yoshitaka Erlewine, Norimasa Hayashi, Yoichi Miyamoto, Nobuaki Nishioka, Satoshi Oku, Yosei Oseki, Hiroaki Saito and Rumi Takaki and other participants for their insightful comments. This research has been supported by the Grants-in-Aid for Young Scientists (Grant No. 18K12412) and Grants-in-Aid for Scientific Research (C) (Grant No. 18K00574, 18K00654).

<sup>&</sup>lt;sup>3</sup> The Japanese honorific (r)are/(r)asu is subject-oriented (Harada 1976, Kishimoto 2012, a.o.), as the contrast in (ia, b) and (iia, b) shows.

<sup>(</sup>i) a. Sensei-wa Taroo-ni suugaku-o osie-rare-ta. (SJ) teacher-top Taro-dat math-acc teach-hon-past 'The teacher taught Taro mathematics.'

Sensei-wa Taroo-ni suugaku-o osie-rare/rasi-ta.
 teacher-TOP Taro-DAT math-ACC teach-HON(SJ)/HON(NJ)-PAST
 'The teacher taught Taro mathematics.'

In addition to honorification, *(r)are* in SJ is also used for the passive and the mood morpheme *zihatu*, as shown in (2b, c). *Zihatu* yields the interpretation of voluntary, spontaneous, circumstantial mood.

| (2) | a. | Sensei-ga     | hon-o           | kaw-are-ta.           | (honorific)           |
|-----|----|---------------|-----------------|-----------------------|-----------------------|
|     |    | teacher-NOM   | book-ACC        | buy-ARE-PAS'          | Г                     |
|     |    | 'The teacher  | bought the boo  | ok.'                  | (Hasegawa 2017: 1848) |
|     | b. | Kodomo-ga     | sensei-ni       | sikar-are-ta.         | (passive)             |
|     |    | child-NOM     | teacher-DAT     | scold-ARE-PA          | ST                    |
|     |    | 'The child wa | s scolded by th | (Hasegawa 2017: 1871) |                       |

b. \*Taroo-wa sensei-ni suugaku-o manab-are-ta. Taro-тор teacher-DAT math-ACC learn-HON-PAST 'Taro learned mathematics from the teacher.' (ii) a. Sensei-wa Taroo-ni suugaku-ba osie-rasi-ta. (NJ) teacher-TOP Taro-DAT math-ACC teach-HON-PAST 'The teacher taught Taro mathematics.' b. \*Taroo-wa sensei-ni suugaku-ba manab-asi-ta. Taro-тор teacher-DAT math-ACC learn-HON-PAST

'Taro learned mathematics from the teacher.'

<sup>5</sup> When the verb stem ends with a vowel, the verbal suffix in Japanese starts with the consonant (*rare, rasu, sase,* etc.) When the verb stem ends with the consonant, the verbal suffix in Japanese starts with the vowel (*are, asu, ase,* etc.).

<sup>&</sup>lt;sup>4</sup> In this paper, I do not address the locus and morphological properties of another type of Japanese honorific, *o-V ni naru* (see Thompson 2011, Hasegawa 2017, and Oseki and Tagawa 2018, a.o.).

c. (Watasi-ni-wa) sore-ga kuyam-are-ru.

(voluntary, spontaneous, circumstantial mood) ISG-DAT-TOP it-NOM regret-ARE-PRES

'I regret it.'/'The given circumstances make me regret it.'

(Hasegawa 2017: 1873)

Based on the fact that (*r*)are in SJ is used for honorification, passive, and *zihatu*, Hasegawa (1988, 2017) argues that it is a uniform morpheme that conceals or makes vague the agentivity of an event, with the difference in interpretation being a matter of language use in the context. This analysis accounts for the incompatibility of the passive/*zihatu* morpheme and the honorific morpheme in SJ, as shown in (3c) and (4b). As (*r*)are is uniform irrespective of its interpretation, a terminal node that is specified for it should also be unique. This means that (*r*)are cannot occur multiple times in the same sentence.

- (3) a. A-sensei-ga B-sensei-o home-rare-ta. (honorific) (SJ)
   A-teacher-NOM B-teacher-ACC praise-HON-PAST
   'Prof. A praised Prof. B.'
  - b. A-sensei-ga B-sensei-ni home-rare-ta. (passive)
    A-teacher-NOM B-teacher-DAT praise-PASS-PAST
    'Prof. A is praised by Prof. B.'
  - c. \*A-sensei-ga B-sensei-ni home-rare-rare-ta.

(\*passive-honorific)

A-teacher-NOM B-teacher-DAT praise-PASS-HON-PAST 'Prof. A is praised by Prof. B.' 41

- (4) a. (Sensei-ni-wa) doo omow-are-masu-ka. (voluntary) (SJ) teacher-to-TOP how think-VOL-POL-Q
   'What do you think, professor?' (Hasegawa 2017: 1873)
  - b. \*(Sensei-ni-wa) doo omow-are-rare-masu-ka. (\*voluntary-honorific)
     teacher-to-TOP how think-VOL-HON-POL-Q
     'What do you think, professor?'

However, Hasegawa's explanation fails to account for the distribution of the honorific (r)asu in NJ. In NJ, the passive/*zihatu* morpheme (r)are and the honorific (r)asu are morphologically different. Of importance here is the fact that the two can co-occur in NJ, as (5) demonstrates. Hence, the assumption that (r)are is uniform irrespective of interpretation is not tenable, at least in NJ.<sup>6</sup>

| (5) | A-sensei-ga        | B-sensei-ni     | home-rare-rasi-ta.       |
|-----|--------------------|-----------------|--------------------------|
|     |                    |                 | (passive-honorific) (NJ) |
|     | A-teacher-NOM      | B-teacher-DAT   | praise-PASS-HON-PAST     |
|     | 'Prof. A is praise | ed by Prof. B.' |                          |

(i) ??(Sensei-ni-wa) sore-ga kuyam-are-rasi-ta-gotaru. (voluntary-honorific) teacher-to-TOP it-NOM regret-VOL-HON-PAST-seem
 'The teacher seems to regret it.' / 'It seems that the given circumstances

make the teacher regret it.'

<sup>&</sup>lt;sup>6</sup> The *zihatu* morpheme (*r*)*are* seems to be incompatible with the honorific (*r*)*asu* in NJ.

This might be because *zihatu*, which is inserted in the v domain, is selected by the null Mood head that is specified for the *zihatu* (voluntary, spontaneous mood) interpretation. Alternatively, *zihatu* is inserted to the fused terminal node of v-Mood. Either way, the honorific morpheme, which is inserted in the aspectual domain (see the following discussion), cannot intervene between v and Mood. I leave the research on zihatu for future research.

I argue that the honorific morpheme is a morphological manifestation of the Honorific head (Hon), which is distinct from the passive morpheme, which is the morphological manifestation of the passive Voice head. This article illustrates the selectional restrictions of verbal suffixes, focusing mainly on the honorific morpheme in the verbal domain, in an attempt to clarify the vP cartography in Japanese (Section 2). Then, I account for the incompatibility of some morphemes in SJ and NJ in terms of anti-homophony under the framework of Distributed Morphology (Halle and Marantz 1993, Harley and Noyer 1999, a.o.) (Section 3). Section 4 summarizes the argument.

# 2. vP cartography 2.1. VoiceP < HonP

First, let us consider the lower periphery of the vP domain. Following the standard assumption in Distributed Morphology (Embick and Marantz 2008, Marantz 1997, 2007, a.o.), I assume that Root is selected by a categorizer. Specifically, Root is selected by v(erbalizer) to form the verb. In Japanese, the vP is in turn selected by Voice, which specifies the active/passive voice of the sentence (Pylkkänen 2008, Harley 2017) as well as transitive/intransitive alternation (Oseki 2017b).

Regarding the morphological realization of Voice, Oseki (2017b) argues for the distinction between s-marked transitive verbs (e.g., *hag-as-u* 'peel'), r-marked intransitive verbs (e.g., *tizim-ar-u* 'shrink'), and unmarked transitive/ intransitive verbs (e.g., *hag-u* 'peel,' *tizim-u* 'shrink'). In addition to the distinction, Oseki introduces the "ambivalent" morpheme -e, which may represent either transitive or intransitive voice (e.g., *hag-e-ru* 'peel' (intransitive), *tizim-e-ru* 'shrink' (transitive)). Based on these distinctions, Oseki argues that transitive/intransitive alternation in Japanese is not pairwise, but consists of "triplets," where one root, marked by different suffixes, may derive two transitive variants and one intransitive variant, or two intransitive variants and one transitive variant.

- (6) transitive-transitive-intransitive "triplets" (SJ/NJ)
  - a. John-ga posutaa-o hai-da. (unmarked transitive) John-NOM poster-ACC peel-PAST 'John peeled a poster.'
  - b. John-ga posuttaa-o hag-asi-ta. (s-marked transitive)
    John-NOM poster-ACC peel-TRANS-PAST
    'John peeled a poster.'
  - c. Postaa-ga hag-e-ta. (e-marked intransitive) poster-NOM peel-AMBIV-PAST 'A poster peeled.' (Oseki 2017b: 6)
- (7) intransitive-intransitive-transitive "triplets" (SJ/NJ)
  - a. Syatu-ga tizim-da. (unmarked intransitive) shirt-NOM shrink-PAST 'A shirt shrank.'
  - b. Syatu-ga tizim-ar-ta. (r-marked intransitive)
    shirt-NOM shrink-INTRANS-PAST
    'A shirt shrank.'
  - c. John-ga syatu-o tizim-e-ta. (e-marked transitive)
    John-NOM shirt-ACC shrink-AMBIV-PAST
    'A poster peeled.' (Oseki 2017b: 8–9, slightly modified)

I argue that the honorific (r)are in SJ and (r)asu in NJ are morphological manifestations of the Honorific Phrase (HonP). As shown in (8), Hon can select any kind of Voice.

(8) a. Sensei-ga posutaa-o hag-as-are/asi-ta. (s-marked transitive) (SI/NJ) teacher-NOM poster-ACC peel-TRANS-HON-PAST 'The teacher peeled a poster.' b. Sensei-ga posutaa-o kir-are/asi-ta. (unmarked transitive) teacher-NOM poster-ACC cut-HON-PAST 'The teacher cut a poster.' c. Sensei-ga syatu-o tizim-e-rare/rasi-ta. (e-marked transitive) teacher-NOM shirt-ACC shrink-AMBIV-HON-PAST 'The teacher shrank a shirt.' d. Sensei-ga wana-ni kak-ar-are/asi-ta. (r-marked intransitive) teacher-NOM trap-DAT catch-INTRANS-HON-PAST 'The teacher got caught in a trap.' e. Sensei-ga heva-de vasum-are/asi-ta. (unmarked intransitive) teacher-NOM room-at rest-HON-PAST 'The teacher rested in a room.' (e-marked intransitive) f. Sensei-ga hag-e-rare/rasi-ta. teacher-NOM bald-AMBIV-HON-PAST 'The teacher went hald'

In contrast, the honorific morpheme cannot precede the voice morpheme, as shown in (9) (We will consider the s-marked transitive verb and honorification in NJ in Section 3).

- (9) a. \*Sensei-ga posutaa-o hag-ar-asi-ta.
   (SJ) teacher-NOM poster-ACC peel-HON-TRANS-PAST
   'The teacher shrank a shirt.'
  - b. \*Sensei-ga syatu-o tizim-ar/as-e-ta. (SJ/NJ)
     teacher-NOM shirt-ACC shrink-HON-AMBIV-PAST
     'The teacher shrank a shirt.'
  - c. \*Sensei-ga wana-ni kak-ar/as-ar-ta. (SJ/NJ)
    teacher-NOM trap-DAT catch-HON-INTRANS-PAST
    'The teacher got caught in a trap.'

The contrast of (8) and (9) leads to the conclusion that HonP projects above VoiceP.

Turning to the passive morpheme, we consider that (r)are is a morphological realization of the passive voice. As shown in (10), although the passive morpheme (r)are cannot be followed by the honorific (r)are in SJ, it can be followed by (r)asu in NJ.

| (10) a. | *A-sensei-ga       | B-sensei-ni        | home-rare-rare-ta.        |
|---------|--------------------|--------------------|---------------------------|
|         |                    |                    | (*passive-honorific) (SJ) |
|         | A-teacher-NOM      | B-teacher-DAT      | praise-PASS-HON-PAST      |
|         | 'Prof. A is praise | ed by Prof. B.'    |                           |
| b.      | A-sensei-ga        | B-sensei-ni        | home-rare-rasi-ta.        |
|         |                    |                    | (passive-honorific) (NJ)  |
|         | A-teacher-NOM      | B-teacher-DAT      | praise-PASS-HON-PAST      |
|         | 'Prof. A is prais  | ed by Prof. B.'    |                           |
| C.      | Sensei-ga          | neko-ni            | nige-rare-rasi-ta.        |
|         |                    |                    | (passive-honorific) (NJ)  |
|         | teacher-NOM        | cat-DAT            | run.away-PASS-HON-PAST    |
|         | 'The teacher wa    | as affected by a o | cat running away.'        |

On the other hand, Hon cannot precede Voice, as illustrated in (11).

| (11) *A-sensei-ga  | B-sensei-ni     | home-rasi-rare-ta.        |  |
|--------------------|-----------------|---------------------------|--|
|                    |                 | (*honorific-passive) (NJ) |  |
| A-teacher-NOM      | B-teacher-DAT   | praise-HON-PASS-PAST      |  |
| 'Prof. A is praise | ed by Prof. B.' |                           |  |

The contrast of (10) and (11) also indicates that Hon selects VoiceP.

#### 2.2. (VoiceP < CauseP) < VoiceP < HonP

Harley (2017) argues that CauseP headed with *(s)ase* selects VoiceP in Japanese (see also Kageyama 1993, 1996, Harley 1995, Miyagawa 1998, 2012, Matsumoto 2000, Volpe 2005, Pylkkänen 2008, Oseki 2017b and references therein).

(12) a. Maki-wa kodomo-o oko-r-ase-ta.

```
(intransitive-causative) (SJ/NJ)
```

Maki-TOP child-ACC angry-INTRANS-CAUS-PAST 'Maki made her child get angry.'

b. Maki-wa kodomo-ni omotya-o ugok-as-ase-ta.

(transitive-causative)

Maki-TOP child-DAT toy-ACC move-TRANS-CAUS-PAST 'Maki made her child move the toy.'

Maki-wa kodomo-o oko-rare-sase-ta. (passive-causative)
 Maki-TOP child-ACC scold-PASS-CAUS-PAST
 'Maki made her child be scolded.'

The examples in (13) illustrate that the causative morpheme *(s)ase* can be followed by the honorific morpheme in SJ and NJ.

- (13) a. Sensei-ga hogosya-o oko-r-ase-rare/rasi-ta. (SJ/NJ)
   teacher-NOM parent-ACC angry-INTRANS-CAUS-HON-PAST
   'The teacher made the parents get angry.'
  - b. Sensei-ga gakusei-ni tukue-o ugok-as-ase-rare/rasi-ta.
     teacher-NOM student-DAT desk-ACC move-TRANS-CAUS-HON-PAST
     'The teacher made the students move desks.'
  - c. Sensei-ga kodomo-o oko-rare-sase-rare/rasi-ta. Sensei-NOM child-ACC scold-PASS-CAUS-HON-PAST 'The teacher made his/her child be scolded.'

In contrast, the honorific morpheme cannot precede the causative morpheme, as (14) demonstrates.

(14) \*Sensei-ga gakusei-ni tukue-o ugok-as-ar/as-ase-ta.
(SJ/NJ)
teacher-TOP student-DAT desk-ACC move-TRANS-HON-CAUS-PAST
'The teacher made the students move desks'

Based on the contrast of (13) and (14), one may consider that HonP selects CauseP, which in turn selects VoiceP. However, the fact that CauseP may be followed by the passive morpheme in NJ shows that HonP selects VoiceP, which may select CauseP, which, in turn, selects the lower VoiceP.<sup>7</sup>

```
    (15) Sensei-wa tako-ba tabe-sase-rare-rasi-ta.
(causative-passive-honorific) (NJ)
    teacher-TOP octopus-ACC eat-CAUS-PASS-HON-PAST
    'The teacher was made to eat octopus.'
```

<sup>&</sup>lt;sup>7</sup> The accusative marker in NJ is -o or -ba.

```
(16) (VoiceP < CauseP) < VoiceP < HonP
```

VoiceP projects above CauseP and below CauseP. VoiceP below CauseP specifies the voice of the event the causee participates in, and VoiceP above CauseP specifies the voice of the event the causer/matrix subject participates in. For instance, (13c) yields the interpretation where the matrix subject (the teacher) actively causes the event that the causee (his/her child) is passively involved in. On the other hand, (15) instantiates the case where the caused event is in the active voice, and the matrix event is in the passive voice.

# 2.3. AspP < HonP/HonP < AspP

The aspect morpheme tei / teo in Japanese follows VoiceP<sup>8</sup>; as shown in (17)–(19), the aspect morpheme follows the verb, the causative morpheme, or the passive morpheme. Note here that the aspect morpheme in SJ is *tei/teo* irrespective of whether it is progressive or perfect, while that in NJ is *yo* for the progressive, and *to* for the perfect. As CauseP projects below VoiceP (vP < VoiceP < CauseP < VoiceP), the fact that the passive morpheme precedes the honorific morpheme instantiates that AspP projects above VoiceP.

(17) a. Yamada-san-ga kyabia-o tabe-tei-ta.
 Yamada-HON-NOM caviar-ACC eat-ASP-PAST
 'Mr. Yamada was eating/has eaten caviar.'

- (i) a. Hon-ga yomi-hazime-rare-ta. book-NOM read-ASP-PASS-PAST
  - b. Hon-ga yom-are-hazime-ta.
     book-NOM read-PASS-ASP-PAST
     'The book searted being read.'

<sup>&</sup>lt;sup>8</sup> Another aspect morphere-hazime-(start doing) may precede or follow Voice (Yoshiki Ogawa, p.c.)

- b. Yamada-san-ga kyabia-ba tabe-yo/to-tta. (NJ)
   Yamada-HON-NOM caviar-ACC eat-ASP(PROG/PERF)-HON-PAST
   'Mr. Yamada was eating/has eaten caviar.'
- (18) a. Yamada-san-ga Ken-o hasir-ase-tei-ta.
   Yamada-HON-NOM Ken-ACC run-CAUSE-ASP-PAST
   'Mr. Yamada was letting Ken run.'
  - b. Yamada-san-ga Ken-ba hasir-ase-yo-tta. (NJ)
     Yamada-HON-NOM Ken-ACC praise-CAUSE-ASP(PROG)-PAST
     'Mr. Yamada was letting Ken run.'
- (19) a. Yamada-san-ga sensei-ni syoosan-sare-tei-ta.
   (SJ) Yamada-HON-NOM teacher-DAT praise-PASS-ASP-PAST
   'Mr. Yamada was being/has been praised by the teacher.'
  - b. Yamada-san-ga sensei-ni syoosan-sare-yo/to-tta. (NJ)
     Yamada-HON-NOM teacher-DAT praise-PASS-ASP(PROG/PERF) -PAST
     'Mr. Yamada was being/has been praised by the teacher.'

The fact that the aspect morpheme cannot precede the voice morpheme also shows that AspP projects above VoiceP.

- (20) a. \*Yamada-san-ga sensei-ni syoosan-si-teo-rare-ta.
   (SJ)
   Yamada-HON-NOM teacher-DAT praise-do-ASP-PASS-PAST
   'Mr. Yamada was being/has been praised by the teacher.'
  - b. \*Yamada-san-ga sensei-ni syoosan-si-yo/to-rare-ta. (NJ) Yamada-HON-NOM teacher-DAT praise-do-ASP(PROG/PERF)-PASS-PAST

'Mr. Yamada was being/has been praised by the teacher.'

HonP projects in the Aspect (Asp)/Tense (T) domain; as shown in (21)-(23), the honorific form follows the aspect morpheme/AspP.

- (21) a. Yamada-san-ga kyabia-o tabe-teo-rare-ta.
   (SJ) Yamada-HON-NOM caviar-ACC eat-ASP-HON-PAST
   'Mr. Yamada was eating/has eaten caviar.'
  - b. Yamada-san-ga kyabia-ba tabe-yo/to-rasi-ta. (NJ)
     Yamada-HON-NOM caviar-ACC eat-ASP(PROG/PERF)-HON-PAST
     'Mr. Yamada was eating/has eaten caviar.'
- (22) a. Yamada-san-ga Ken-o hasir-ase-teo-rare-ta.
   (SJ)
   Yamada-HON-NOM Ken-ACC run-CAUSE-ASP-HON-PAST
   'Mr. Yamada was letting Ken run.'
  - b. Yamada-san-ga Ken-ba hasir-ase-yo-rasi-ta. (NJ)
     Yamada-HON-NOM Ken-ACC praise-CAUSE-ASP(PROG)-HON-PAST
     'Mr. Yamada was letting Ken run.'

(23) a. Yamada-san-ga sensei-ni syoosan-sare-teo-rare-ta.

(SI)

Yamada-HON-NOM teacher-DAT praise-PASS-ASP-HON-PAST 'Mr. Yamada was being/has been praised by the teacher.'

b. Yamada-san-ga sensei-ni syoosan-sare-yo/to-rasi-ta.

(NJ)

Yamada-HON-NOM teacher-DAT praise-PASS-ASP(PROG/PERF)-HON-PAST

'Mr. Yamada was being/has been praised by the teacher.'

The honorific morpheme may precede the aspectual morpheme in SJ, while it may not in NJ.

| (24)                               | a. | Sensei-ga           | ronbun-o        | kai-teo-rare-ta.   | (SJ) |
|------------------------------------|----|---------------------|-----------------|--------------------|------|
|                                    |    | teacher-NOM         | paper-ACC       | write-ASP-HON-PAST |      |
|                                    |    | 'The teacher was wr | iting a paper.' |                    |      |
|                                    | b. | Sensei-ga           | ronbun-o        | kak-are-tei-ta.    | (SJ) |
|                                    |    | teacher-NOM         | paper-ACC       | write-HON-ASP-PAST |      |
|                                    |    | 'The teacher was wr | iting a paper.' |                    |      |
|                                    |    |                     |                 |                    |      |
| (25)                               | a. | Sensei-ga           | ronbun-ba       | kaki-yo-rasi-ta.   | (NJ) |
|                                    |    | teacher-NOM         | paper-ACC       | write-ASP-HON-PAST |      |
| 'The teacher was writing a paper.' |    |                     |                 |                    |      |
|                                    | b. | *Sensei-ga          | ronbun-ba       | kak-asi-yo-tta.    | (NJ) |
|                                    |    | teacher-NOM         | paper-ACC       | write-HON-ASP-PAST |      |
|                                    |    | 'The teacher was wr | iting a paper.' |                    |      |

These show that HonP may project either below AspP or above AspP in SJ, while HonP projects above AspP in NJ. I see no difference in interpretation between Asp < Hon and Hon < Asp. I leave the dialectal variation for future research.

(26) a. AspP < HonP/HonP < AspP (SJ)</li>b. AspP < HonP (NJ)</li>

### 2.4. HonP < NegP < TP

The honorific morpheme precedes negation and tense, while it cannot follow negation and tense, as shown in (27)-(29).

 (27) a. Yamada-san-ga Yuki-o syoosan-s-are-naka-tta.
 (SJ) Yamada-HON-NOM Yuki-ACC praise-do-HON-NEG-PAST
 'Mr. Yamada didn't praise Yuki.'

- b. Yamada-san-ga Yuki-ba syoosan-s-assa-n-yatta. (NJ)
  Yamada-HON-NOM Yuki-ACC praise-do-HON-NEG-PAST
  'Mr. Yamada didn't praise Yuki.'
- (28) a. \*Yamada-san-ga Yuki-o syoosan-si-nakar-are-ta.
   Yamada-HON-NOM Yuki-ACC praise-do-NEG-HON-PAST
   'Mr. Yamada didn't praise Yuki.'
  - b. \*Yamada-san-ga Yuki-o syoosan-si-nakar-ta-are. (SJ)
    Yamada-HON-NOM Yuki-ACC praise-do-NEG-PAST-HON
    'Mr. Yamada didn't praise Yuki.'
- (29) a. \*Yamada-san-ga Yuki-ba syoosan-se-n-rasi-ta.
   (NJ) Yamada-HON-NOM Yuki-ACC praise-do-NEG-HON-PAST
   'Mr. Yamada didn't praise Yuki.'
  - b. \*Yamada-san-ga Yuki-ba syoosan-se-n-yatta-rasu. (NJ) Yamada-HON-NOM Yuki-ACC praise-do-NEG-PAST-HON 'Mr. Yamada didn't praise Yuki.'

## 2.5. vP cartography

In sum, the vP cartography in Japanese is schematized in (30).

#### 3. Anti-Homophony

#### 3.1. Passive – Honorific

The vP cartography in (30), where Voice and Hon are different, accounts for

the compatibility of the passive morpheme and the honorific morpheme in NJ, as shown in (5), repeated as (31a).

(31) a. A-sensei-ga B-sensei-ni home-rare-rasi-ta.

(passive-honorific) (NJ)

A-teacher-NOM B-teacher-DAT praise-PASS-HON-PAST 'Prof. A is praised by Prof. B.'

b. Sensei-ga neko-ni nige-rare-rasi-ta. (passive-honorific) (NJ)
 teacher-NOM cat-DAT run.away-PASS-HON-PAST
 'The teacher was affected by a cat running away.'

However, the vP cartography (30) fails to account for the ungrammaticality of the SJ counterpart illustrated in (3c), repeated as (32).

| (32) *A-sensei-ga  | B-sensei-ni     | home-rare-rare-ta.        |
|--------------------|-----------------|---------------------------|
|                    |                 | (*passive-honorific) (SJ) |
| A-teacher-NOM      | B-teacher-DAT   | praise-PASS-HON-PAST      |
| 'Prof. A is praise | ed by Prof. B.' |                           |

I argue that the ungrammaticality is caused by anti-homophony/repetition avoidance: namely, the ban on adjacent identity (*\*rare-rare*) within a given domain (see also Okutsu 1974, Neeleman and van de Koot 2006, Harbour 2008, Nevins 2012, Richards 2010, Kayne 2016, Hiraiwa 2016, Oseki 2017a and references therein). The anti-homophony analysis is supported by the fact that an intervening morpheme (e.g., an aspect morpheme or focus particle) ameliorates the ungrammaticality. This is demonstrated in (33) and (34). (33) passive – aspect – honorific
A-sensei-ga B-sensei-ni home-rare-teo-rare-ta. (SJ)
A-teacher-NOM B-teacher-DAT praise-PASS-ASP-HON-PAST
'Prof. A is praised by Prof. B.'

(34) passive – focus particle sae 'even' – honorific
Yamada-sensei-ga Shota-ni tatak-are-sae-s-are-ta. (SJ)
Yamada-teacher-NOM Shota-DAT hit-PASS-even-do-HON-PAST
'Prof. Yamada was even hit by Shota.'

Further evidence for anti-homophony comes from the *do so* construction. In Japanese, the active form *do so* can yield a passive interpretation when the predicate indicates agentivity. Consider (35), where the agentive adverb *wazato* 'deliberately' modifies the predicate. In this case, the active form *soo* su 'do so' in (35c) yields the passive interpretation that corresponds to (35b).

- (35) a. Masao-wa wazato Ken-ni hihan-s-are-ta.
   (SJ) Masao-TOP deliberately Ken-DAT criticize-do-PASS-PAST
   'Masao was deliberately criticized by Ken.'
  - b. Shota-mo wazato Ken-ni hihan-s-are-ta.
     Shota-also deliberately Ken-DAT criticize-do-PASS-PAST
     'Also, Shota was deliberately criticized by Ken.'
  - c. Shota-mo soo si-ta.
    Shota-also so do-PAST
    'Shota did so, too.' (Int. 'Also, Shota was deliberately criticized by Ken.')

Importantly, when the active voice can yield a passive interpretation in the absence of the passive morpheme, the honorific morpheme can manifest, as in (36b).

- (36) a. Masao-wa wazato Ken-ni hihan-s-are-ta.
   Masao-TOP deliberately Ken-DAT criticize-do-PASS-PAST
   'Masao was deliberately criticized by Ken.'
  - b. Oka-sensei-mo soo s-are-ta.
    Oka-teacher-also so do-HON-PAST
    'Prof. Oka did so, too.' (Int. 'Also, Prof. Oka was deliberately criticized by Ken.')

In (36b), the active form *soo-su* 'do so' substitutes for the passive verb in (36a), and yet yields the passive interpretation. In this case, with the absence of the passive morpheme, the honorific morphemecan manifest.

## 3.2. Transitive – Honorific

Finally, consider some unmarked/s-marked transitive variants like *hagu/ hagasu* 'peel' and *toku/tokasu* 'dissolve' in NJ.

| (37) | a. | John-ga     | posutaa-ba    | hai-da.       | (unmarked transitive) (NJ) |
|------|----|-------------|---------------|---------------|----------------------------|
|      |    | John-NOM    | poster-ACC    | peel-PAST     |                            |
|      |    | 'John peele | ed a poster.' |               |                            |
|      | b. | John-ga     | posuttaa-ba   | hag-asi-ta.   | (s-marked transitive)      |
|      |    | John-NOM    | poster-ACC    | peel-TRANS-PA | AST                        |
|      |    | 'John peele | ed a poster.' |               |                            |
|      | C. | John-ga     | kona-ba       | toi-ta.       | (unmarked transitive)      |
|      |    | John-NOM    | powder-ACC    | dissolve-PAST |                            |
|      |    | 'John disso | lved powder.' |               |                            |

d. John-ga kona-ba tok-asi-ta. (s-marked transitive)
John-NOM powder-ACC dissolve-TRANS-PAST
'John dissolved powder.' (Oseki 2017b: 6)

Of importance here is the fact that these unmarked transitive verbs may not be followed by the honorific morpheme in NJ: (38a, c) only yields the s-marked transitive interpretation, not the honorific interpretation. To yield the honorific interpretation, the s-marked variant should be selected, as shown in (38b, d).<sup>9</sup>

| (38) | a. | #Sensei-ga   | posutaa-ba     | hag-asi-ta.                           |
|------|----|--------------|----------------|---------------------------------------|
|      |    |              |                | (*unmarked transitive-honorific) (NJ) |
|      |    | teacher-NOM  | poster-ACC     | peel-HON-PAST                         |
|      |    | 'The teacher | peeled a poste | er.'                                  |
|      | b. | Sensei-ga    | posuttaa-ba    | hag-as-asi-ta.                        |
|      |    |              |                | (s-marked transitive-honorific)       |
|      |    | teacher-NOM  | poster-ACC     | peel-TRANS-HON-PAST                   |
|      |    | 'The teacher | peeled a poste | er.'                                  |

- (i) a. Sensei-ga kugi-ba nuk-asi-ta. teacher-NOM nail-ACC pull-HON-PAST 'The teacher pulled off the nail.'
  - b. Sensei-ga maeno-hito-ba nukas-asi-ta.
     teacher-NOM in.front-person-ACC overtake-HON-PAST
     'The teacher overtook the person in front of him.'

<sup>&</sup>lt;sup>9</sup> Note that when the unmarked/s-marked transitive alternation yields easily detectable different interpretations, both variants can be followed by the honorific morpheme. For instance, the unmarked transitive form nuku 'pull' and the s-marked transitive form nukasu 'overtake' yield distinct interpretations, and they can each be followed by the honorific morpheme, as (i) shows.

Masako Maeda

| c. #Sensei-ga              |              | kona-ba       | tok-asi-ta.                      |  |
|----------------------------|--------------|---------------|----------------------------------|--|
|                            |              |               | (*unmarked transitive-honorific) |  |
|                            | teacher-NOM  | powder-ACC    | dissolve-HON-PAST                |  |
| 'The teacher dissolved pow |              | dissolved pow | der.'                            |  |
| d.                         | Sensei-ga    | kona-ba       | tok-as-asi-ta.                   |  |
|                            |              |               | (s-marked transitive-honorific)  |  |
|                            | teacher-NOM  | powder-ACC    | dissolve-TRANS-HON-PAST          |  |
|                            | 'The teacher | dissolved pow | der.'                            |  |

I take the fact as a regulation related with anti-homophony: in this case, s-marked transitive morpheme (*-asu*) and the honorific morpheme (*-asu*) in NJ are homophonous. When two terminal nodes for homophonous morphemes are in proximity and one of the two homophonous morphemes is inserted to a terminal through Late Insertion (Halle and Marantz 1993, Halle 1997, Harley and Noyer 1999, Embick and Noyer 2001, a.o.), the one closer to Root wins over the other.

(39) Local Vocabulary Insertion Theorem

When two terminal nodes for homophonous vocabulary items are in proximity and one of the two homophonous vocabulary items can be inserted to a terminal, the terminal close to Root is selected over the other.

Under (39), *asu* in (38a, c) is inserted not to Hon, but to Voice, yielding only the s-marked transitive interpretation (see also the Local Allomorph Selection Theorem (Choi and Harley 2018, Oseki and Tagawa 2018)).<sup>10</sup>

<sup>&</sup>lt;sup>10</sup> The Local Vocabulary Insertion Theorem is ranked higher than the ban on homophonous sequence, as illustrated by the asu-asu sequence in (38b). When the

It is further expected that if two terminal nodes are not in a local configuration, the sentence becomes grammatical. Hence, if the focus particle *sae* 'even' or the progressive aspectual form *yo* intervenes between VoiceP and HonP, then both the unmarked transitive verb and the s-marked transitive verb can be followed by the honorific morpheme.

- (40) a. (?)Sensei-ga posutaa-ba hagi-sae-s-asi-ta.
   (NJ) teacher-NOM poster-ACC peel-even-do-HON-PAST
   'The teacher even peeled a poster.'
  - b. (?)Sensei-ga kona-ba toki-sae-s-asi-ta.
     teacher-NOM powder-ACC dissolve-even-do-HON-PAST
     'The teacher even dissolved powder.'

| (41) | a. | Sensei-ga      | posutaa-ba     | hagi-yo-rasi-ta.                     |
|------|----|----------------|----------------|--------------------------------------|
|      |    |                |                | (unmarked transitive-honorific) (NJ) |
|      |    | teacher-NOM    | poster-ACC     | peel-ASP-HON-PAST                    |
|      |    | 'The teacher w | as peeling a p | oster.'                              |
|      | b. | Sensei-ga      | posuttaa-ba    | hag-asi-yo-rasi-ta.                  |
|      |    |                |                | (s-marked transitive-honorific)      |
|      |    | teacher-NOM    | poster-ACC     | peel-TRANS-ASP-HON-PAST              |
|      |    | 'The teacher w | as peeling a p | oster.'                              |
|      |    |                |                |                                      |

c. John-ga kona-ba toki-yo-rasi-ta. (unmarked transitive-honorific)

John-NOM powder-ACC dissolve-ASP-HON-PAST

'John was dissolving powder.'

unmarked transitive verb is followed by the honorific morpheme, there's only one asu, and LVIT always selects the terminal node closer to the root. Hence, in order to yield the honorific interpretation, the s-marked transitive variant should be selected, inevitably violating the anti-homophony.

| d.                            | John-ga  | kona-ba    | tok-asi-yo-rasi-ta.             |  |
|-------------------------------|----------|------------|---------------------------------|--|
|                               |          |            | (s-marked transitive-honorific) |  |
|                               | John-NOM | powder-ACC | dissolve-TRANS-ASP-HON-PAST     |  |
| 'John was dissolving powder.' |          |            |                                 |  |

#### 4. Conclusion

In sum, this paper has investigated the selectional properties of the honorific forms *(r)are* in SJ (Harada 1976) and *(r)asu* in NJ and clarified the vP cartography in Japanese. Furthermore, it has accounted for the incompatibility of some morphemes in SJ and NJ in terms of anti-homophony and the Local Vocabulary Insertion Theorem.

#### References

- Choi, Jaehoon and Heidi Harley (2019) "Locality Domains and Morphological Rules," Natural Language and Linguistic Theory 37, 1319-1365.
- Embick, David and Alec Marantz (2008) "Architecture and Blocking," *Linguistic Inquiry* 39, 1-53.
- Embick, David and Rolf Noyer (2001) "Movement Operations after Syntax," *Linguistic Inquiry* 32, 555-595.
- Halle, Moris (1997) "Distributed Morphology: Impoverishment and Fission," MIT Working Papers in Linguistics 30: Papers at the Interface, 425-449.
- Halle, Moris and Alec Marantz (1993) "Distributed Morphology and the Pieces of Inflection," *The View from Building 20*, ed. by Kenneth Hale and Samuel J. Keyser, 111-176, MIT Press, Cambridge, MA.
- Harada, Shin-Ichi (1976) "Honorifics," Syntax and Semantics 5, ed. by Shibatani Masayoshi, 499-561. Academic Press, San Diego.
- Harbour, Daniel (2008) "On Homophony and Methodology in Morphology," *Morphology* 18, 75-92.
- Harley, Heidi (1995) Subjects, Events and Licensing. Doctoral dissertation, MIT.
- Harley, Heidi (2017) "The "Bundling" Hypothesis and the Disparate Functions of Little v," *The Verbal Domain*, ed. by Roberta D'alessandro, Irene Franco, and Ángel J. Gallego, 3-28. Oxford University Press, Oxford.

Harley, Heidi and Rolf Noyer (1999) "Distributed Morphology," Glot International 4, 3-9.

- Hasegawa, Nobuko (1988) "Passives, Verb Raising and the Affectedness Condition," Proceedings of the Seventh West Coast Conference on Formal Linguistics, 99-113.
- Hasegawa, Nobuko (2017) "Honorifics," *The Blackwell Companion to Syntax*, Second edition, ed. by Martin Everaert and Henk C. van Riemsdijk, 1836-1886. Wiley Blackwell, Oxford.
- Hiraiwa, Ken (2016) "NP-Ellipsis: A Comparative Syntax of Japanese and Okinawan," Natural Language and Linguistic Theory 34, 1345-1387.
- Kageyama, Taro (1993) *Bunpoo to Gokeisei* (Grammar and Word Formation), Hituzi Syobo, Tokyo.
- Kageyama, Taro (1996) *Dooshi Imiron: Gengo to Ninchi no Setten* (Verb Semantics: The Interface of Language and Cognition), Kuroshio Publishers, Tokyo.
- Kayne, Richards S. (2016) "The Unicity of there and the Definiteness Effect," Ms. NYU.
- Kishimoto, Hideki (2012) "Subject Honorification and the Position of Subjects in Japanese," *Journal of East Asian Linguistics* 21, 1-41.
- Marantz, Alec (1997) "No Escape from Syntax: Don't Try Morphological Analysis in the Privacy of Your Own Lexicon," University of Pennsylvania Working Papers in Linguistics 4, ed. by Alexis Dimitriadis, Laura Siegel, Clarisa Surek-Clark, and Alexander Williams, 201-225.
- Marantz, Alec (2007) "Phases and Words," *Phases in the Theory of Grammar*, ed. by Sook-Hee Choe, 191-222. Dong-In Publishing Co, Seoul.
- Matsumoto, Yo (2000) "Causative Alternation in English and Japanese: A Closer Look," English Linguistics 17, 160-192.
- Miyagawa, Shigeru (1998) "(s)ase as an Elsewhere Causative and the Syntactic Nature of Words," *Journal of Japanese Linguistics* 16, 67-110.
- Miyagawa, Shigeru (2012) Case, Argument Structure, and Word Order. Routledge, New York.
- Neeleman and van de Koot (2017) "Syntactic Haplology," *The Blackwell Companion to Syntax*, Second edition, ed. by Martin Everaert and Henk C. van Riemsdijk, 4377-4407, Wiley Blackwell, Oxford.
- Nevins, Andrew (2012) "Haplological Dissimilation at Distinct Stages of Exponence," *The Morphology and Phonology of Exponence*, ed. by Trommer, Jochen, 84-116, Oxford University Press, Oxford.
- Okutsu, Keiichiro (1974) Seisei Nihon Bunpooron: Meisiku-no Koozoo [Generative grammar of Japanese: noun phrase structure], Taishukan, Tokyo.
- Oseki, Yohei (2017a) "Primitive Functional Elements of Argument Structure," Ms. NYU.
- Oseki, Yohei (2017b) "Voice Morphology in Japanese Argument Structures," Ms. NYU.
- Oseki, Yohei and Takumi Tagawa (2018) "Dual Suppletion in Japanese," Paper presented at Workshop on Altaic Formal Linguistics (WAFL) 14.

Pylkkänen, Liina (2008) Introducing Arguments, MIT Press, Cambridge, MA.

- Richards, Norvin (2010) Uttering Trees. MIT Press, Cambridge, MA.
- Thompson, Anie (2011) "Irregularity in Japanese Honorifics," UC Santa Cruz: Linguistics Research Center, 153-175.
- Volpe, Mark (2005) Japanese Morphology and Its Theoretical Consequences: Derivational Morphology in Distributed Morphology. Doctoral dissertation, Stony Brook University.